

How-to Note: Read before using the protection guidance

March 2024



What is this guidance

This guidance provides support to humanitarian organisations on how to adapt their protection data collection tools to generate better information on persons with disabilities. It is based on the IASC Guidelines on Inclusion of Persons with Disabilities in Humanitarian Action and, in particular, on addressing the four must-do actions in the IASC Guideline's protection section. The guidance includes review grids, sample tools, a question bank and a checklist. Together these lay out step-by-step instructions for adapting a range of your organisation's protection data collection tools and processes. The worksheets help you to review tools ranging from standard operating procedures, demographic sections, assessment questionnaires and observation grids. The guidance focuses on general protection and not, for example, on child protection or Sexual Gender Based Violence (SGBV).



Structure of the guidance:

The guidance is excel based and uses tabs for navigation. In total, there are 11 distinct tabs. The tabs are colour coded to help you navigate.

Introductory tabs: Tab 1 provides you with an overview of all available tabs and discribes which tab can be used for what purpose.



Tab 2 contains an introduction to this guidance, its purpose and will help you to decide how to use it best.



Review tabs: Tabs 3, 4 and 8 contain review grids. See next page for an introduction to their structure.



Checklists and Question bank tabs: Tab 6 contains a checklist that helps you to reflect on accessible protection assessment planning, focus group discussions, key informant interviews or observations.



Tab 7 provides a question bank with sample questions to make your risk and threat assessments more disability inclusive.



Sample tool tabs: Tabs 5 and 9 show disability-inclusive assessment tools or tool sections to inspire reflections.



Supporting tabs: Tab 10 lists useful terminology to cross-check and/or better understand the key terms used in this guidance.



Tab 11 shares plentiful quality face-to-face, online and e-learning training modules that use a rights-based approach and target humanitarian actors. It also contains other learning resources on disability-inclusive humanitarian action, with a particular focus on protection.







Navigation tabs



To start **read** the introduction to the guidance on **tab 2** – so that you can decide if this guidance fits your purpose and how to best use it.

Common structure of the review grids (tab 3 standard operating procedures/guidance, tab 4 demographic sections and tab 8 observation guides):



- On top you will find **general information** on this particular part of the guidance (symbol for information)
- Below a step-by-step guide on how to make use of this particular review grid (symbol for guide)
- And thereafter you will find the actual table to help your review, each containing
 5 columns (supported by illustration of a excel table with the columns):
 - In the first lefthand side column guiding questions for your review (symbol)
 - Next to it in the neighbouring column you will find recommended good practices which can help you to compare your existing tools and/or SOP with
 - In the column to the right you will find a scoring cells where you can score your practice between fully aligned with good practice, to not aligned with good practice
 - Thereafter, in case you have discovered gaps, one column provides you with space to make notes on the gaps
 - The 5th column contains references for good practices of organizations that agreed to share it





Scenario 1:



If you want to review your standard operating procedures (SOPs)/ guidance, demographic sections or observation tools – go to one of the three available review grids (tab 3 standard operating procedures/ guidance, tab 4 demographic sections and tab 8 observation guides). The content differs yet each of the tabs is structured the same way. The scenario 1 for reviewing SOPs, apply equally to a review of demographic sections or observation tools.

To review your SOP:

 Pick tab 3 for SOPs and have a look at the background sections of these tabs on top, containing information such as what this tab is for and which references were used.



Review grid for Standard Operating Procedures (SOPs) and related guidance on implementing your protection assessments Use this review grid and step-by-step instructions for reviewing your organisation's SOP/ other related guidance for conducting protection assessments. The guiding questions are grouped by must-do actions as outlined in the IASC Guidelines on Inclusion of Persons with Disabilities in Humanitarian Action. The green column shows typical elements of an SOP and other guidance in which disability inclusion is integrated well. The review grid includes columns for your notes as well as references that will support you in adapting your SOPs and other related guidance. Please note, that disability inclusion in protection related data collection is a somewhat new thematic. Hence, where references for good practices are not available, the recommended good practice is based on expertise from practitioners and the IASC Guidelines. This is a living document. If you come across references for good practice on disability inclusion in SOPs and other guidance for protection assessments, please share them with us for the next updated version of this guidance. Contact us at Humanity & Inclusion: Utrike Last (u.last@hiorg) and Irene Mulunda (Emulunda@hiorg). Recommended Steps for Use Step 1 Review and compare your document or tool with the green column (ecommended good practice). Does your document or tool include those elements? If so, score your document or tool using the dropdownment are readily and accordingly. Step 3 Typer document or tool is not aligned with the good practice, review your document or tool and assess whether it is partially aligned or not aligned. Score your document or tool using the dropdownment are recordingly. Use the references for good practices to find suggestions on how to adapt your document or tool for disability-inclusive approaches.

• Read through the **instructions** detailing the steps to take, you will find on top of each of the tabs.



Review grid for Standard Operating Procedures (SOPs) and related guidance on implementing							
your protection assessments							
Use this review grid and step-by	-step instructions for reviewing your organisation's SOP/other related guidance for conducting protection assessments.						
The guiding questions are grouped by must-do actions as outlined in the IASC Guidelines on Inclusion of Persons with Disabilities in Humanitarian Action. The green column shows typical elements of an SOP and other guidance in which disability inclusion is integrated well. The review grid includes columns for your notes as well as references that will support you in adapting your SOPs and other related guidance. Please note, that disability inclusion in protection related data cellection is a somewhat new thematic. Hence, where references for good practices are not available, the recommended good practice is based on expertise from practitioners and the IASC Guidelines. This is a living document. If you come across references for good practice on disability inclusion in SOPs and other guidance for protection essessments, please share them with us for the next updated version of this guidance. Contact us at humanitarian facility inclusion in SOPs and other guidance for protection							
Recommended Steps for Use							
Step 1	Read the guiding question.						
Step 2	Roview and compare your document or tool with the green column (recommended good practice). Does your document or tool include those elements? If so, score your document or tool using the dropdown menu as no adaptation needed.						
Step 3 If your document or tool is not aligned with the good practice, review your document or tool and assess whether it is partially aligned or not aligned. Score your document or tool using the dropdown menu eccordingly.							
Step 4 List the gaps you have identified in your document or tool using the column indicated.							
Step 5 Use the references for good practices to find suggestions on how to adapt your document or tool for disability-inclusive approaches.							
Step 6 Repost steps 1-5 for each quiding question.							

O In column A you will find the **guiding questions.**

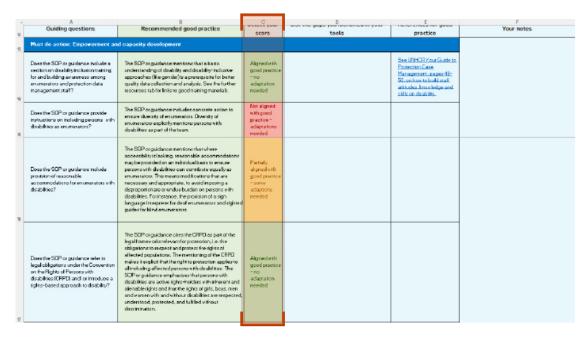
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Guiding questions	Recommended good practice	Select your score	List the gaps you identified in your tools	References for good practice	Your notes
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Does the SCP or guidance provide instructions on including persons with dieshilities as enumerations?	The SDP or quistance includes concrete action to unsers diversity of enemerators. Diversity of enumerators explicitly mentions persons with disabilities as part of the trees.	Not yet szored			
Does the SOP or guid arcs include previous of resonable accommodations for enumerators with decelebrary	The SGP or guida non-necesions that where occursibility is locking, necessable accommodation may be provided on an individual back to ensure persons with disabilities can contribute equility as ensurementars. This remove well disabilities that are necessary and appropriate, to evoid imposing a dispreparationable or architecture or an personal with disabilities. For instance, the provision of a sign lampage interpretate for dark demonstrations and sighted publics for blind enumerations.	Not yet scored			
Does the SOP or guid ance refer to logal obligations areler the Convention on the Rights of Persons with disabilities OTP FDs and for	The SOP or quidance of the CRPD as part of the legal frameworks relevant for protection, i.e. the obligations for respect and protect the rights of affected oppositions. The meantening of the CRPD makes it explicit that the right to protection explica- tion is including affected persons with dissolitation. The SOP or quidance complessing that persons with the SOP or quidance complessing that persons with the SOP or quidance complessing that persons with	Not yet scored			

O Next to it you will find a column with **recommended good practice.** Compare your organization's SOP with the recommended practice.

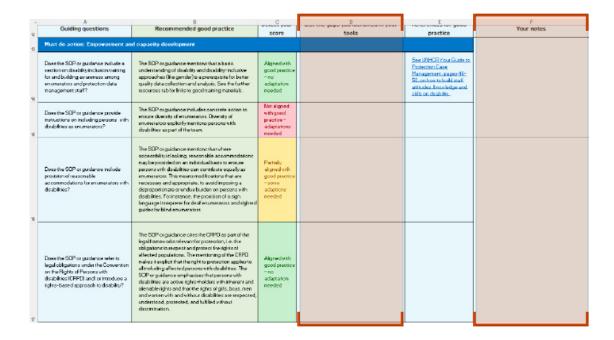
ā		Select your	List the gaps you identified in your	References for good	r.
Guiding questions	Recommended good practice	score	tools	practice	Your notes
Must do action: Empowerment and co	sacity development				
Does the SOP or galdwiss include a section and loak illy includent training for and building everances energy enumerators and protection data management staff?	The SOP or guide not mentions that a basic understanding of a loss likely and disability-inclusive approaches (the gundler) is a pre-requisite for better quality data collection and analysis. Since the further resources tool for littles to good training materials.	Not yet scored		See UNIVERY Year Guide to Protestion Case. Management, pages 46- 50, online to ballel stoff, attitudes, it nowledge and stella on a balk life.	
Does the SOP or guidance provide instructions on including persons with dissibilities as onemorators?	The SDP or quidates instaded concrete action to onears diversity of enumerators. Diversity of enumerators explicitly mentions persons with disabilities so part of the trees.	Not yet scored			
Does the SOP or gold area include previous of resisceable accommodations for enumerators with disabilities?	The SOP or guida soo meetians that where occumbible is lacking, respectible conveniented and a incidental track to whose persons with disabilities can contribute equally as exercise store. Their research and disabilities that are received and appropriate, to writed imposing a despreparation appropriate or world imposing a despreparation and personal resident and a significant invarient or analysis and in previous wide classification. For instance, the provision of a significant parameter of the desired research and a significant pattern for deal resources and a significant pattern for their deviations.	Not yet scored			
Does the SOP or gaid ance refer to legal obligations writer the Convention on the Rights of Persons with disabilities (CRPD) and/or	The SDP or quide roo often the CRPD on part of the legal frameworks released to protection, i.e. the obligation to respect and protect the rights of affected populations. The mentioning of the CRPD makes it explicit that the right to protection applies or all including affected persons with disabilities. The SDP or guide not compliant septimate persons with	Not yet scored			



- O In the third column you can **score your SOP** status in three categories:
 - Aligned with good practice
 - Partially aligned with the good practice
 - Not aligned with the good practice



 Next to the scoring column you have a space to enter your observations and outcomes of the discussions about what gaps need addressing, and how.

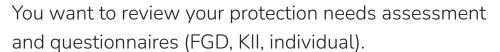




O To inform your changes we have added **references** (as far as the exist at current) **of good practices** that are there to inspire your revision.

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12	Guiding questions	Recommended good practice	score	tools	practice	Your notes
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14	Disastive SOP or guidance include a section on disability inclusion baining for and building ay assess among enumerates and protection data management staff?	The SDP or guidance mentions that a basic understanding of chability and disability includes approaches (the gender) be a presentate for before guidally disabilities, and the following support of the special policy of th	Aligned with good practice -no edaptation needed		See LINCH Your Guide to Protection Care Management, pages 46: 50, on how to hald stall attitudes, time sledge and skills on deshills.	
15	Does the SOP or guidance provide tratuctions on including persons with disabilities as enumerators?	The SDP or guidance includes concrete action to encours diversity of enumerators. Diversity of enumerators explicitly mentions persons with doublitter as part of the been.	Not aligned with good precitive - edapts force needed			
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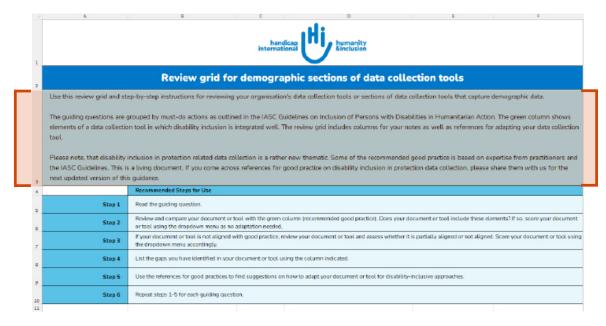
Scenario 2:



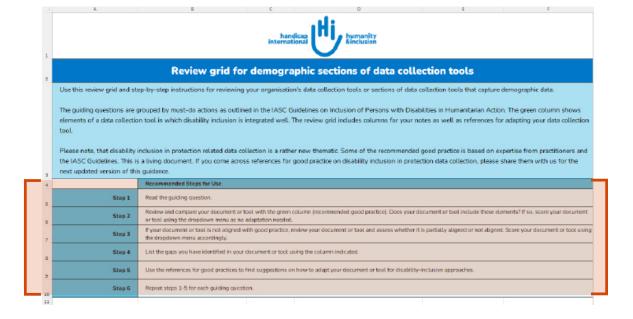


Step 1: If you have a demographic section in the questionnaire, go to the tab 4 'Review grid demographic section'. (If not jump to step 2).

Pick tab 4 and have a look at the background section of this tab, you will
find on top information such as what this tab is for and which references
were used



O Read through the **instructions** detailing the steps to take, you will find on top of each tab and follow:





O In column A you will find the **guiding questions.**

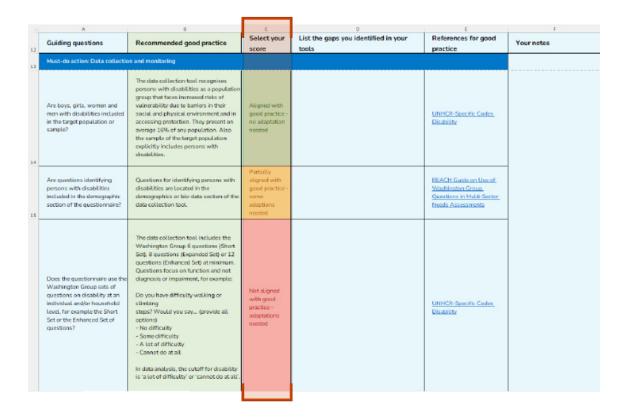
-4	A	В	C	D	E	F
12	Guiding questions	Recommended good practice	Select your score	List the gaps you identified in your tools	References for good practice	Your notes
13	Must-do action: Data collection	on and monitoring				
14	Are boys, girts, women and men with disabilities included in the target population or sample?	The data collection tool recognises persons with disabilities as a population group that faces increased risks of vulnerability due to barriers in their social and physical environment and in accessing protection. They present on average 16% of any population. Also the sample of the target population explicitly includes persons with clasbilities.	Not yet scored		UNHCR-Specific Codes, Disability	
15	Are questions identifying persons with disabilities included in the demographic section of the questionnaire?	Questions for identifying persons with disabilities are located in the demographics or bio-data section of the data collection tool.	Not yet scored		REACH Guide on Use of Washington Group Guestions in Mutil-Sector Needs Assessments	
	Does the questionnaire use the Washington Group sets of questions on disability at an individual antilor household level, for example the Short Set or the Enhanced Set of questions?	The data collection tool includes the Wachington Group 6 questions (Short Set), 8 questions (Expanded Set) or 1.2 questions (Erinhanced Set) at minimum. Questions focus on function and not clagnosis or impairment, for example: Do you have difficulty walking or climbing steps? Would you say (provide all options) No clifficulty - No clifficulty - A lot of difficulty In data analysis, the cutoff for disability is a lot of difficulty?	Notyetscored		UNHCR-Specific Codes. Disability	

O Next to it you will find a column B with **recommended good practice**. Compare your organization's demographic section with the recommended practice.

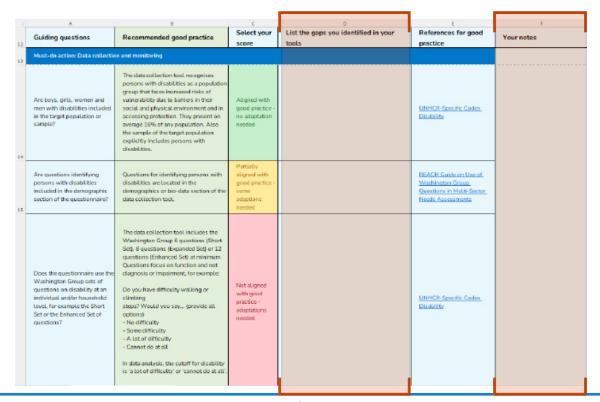
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15	Are questions identifying persons with disabilities included in the demographic section of the questionnaire?	Questions for identifying persons with disabilities are located in the demographics or bio-data section of the clata collection tool.	Not yet scored		REACH Guide on Use of Washington Group Guestions in Multi-Sector Needs Assessments	
	Does the questionnaire use the Washington Group sets of questions on disability at an individual and/or household level, for cample the Short Set or the Enhanced Set of questions?	The data collection tool includes the Wachington Group 6 questions (Short Set), 8 questions (Exhanced Set) or 12 questions (Enhanced Set) at minimum. Questions focus on function and not clagnosis or impairment, for example: Do you have difficulty walking or climbing steps? Would you say (provide all options) - No difficulty - No difficulty - A lot of difficulty - Cannot do at all. In data analysis, the cutoff for clisability is a lot of difficulty or 'cannot do at all'.	Notyetscored		UNHCR-Specific Codes. Disability	



- O In the third column you can **score your** demographic section in three categories:
 - Aligned with good practice
 - Partially aligned with the good practice
 - Not aligned with the good practice



O You will find **two columns to add your notes, your observations** and outcomes of the discussions about what gaps need addressing, and how.





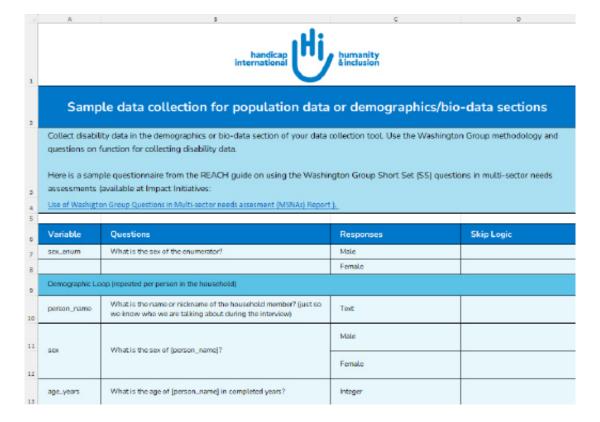
O To inform your changes we have added **references of good practices** that are there to inspire your revision.

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13	Must-do action: Data collectio	n and monitoring				
14	Are boys, girls, women and men with disabilities included in the target population or cample?	The data collection tool recognises persons with disabilities as a population group that free increased risks or vulnerability due to barriers in their social, and physical convironment and in accessing protection. They present on average 16% of any application. Also the ample of the target population objectively includes persons with cleabilities.	Aligned with good practice - no adaptation needed		UNHCR-Secrétic Codes Disability	
15	Are questions identifying persons with disabilities included in the demographic section of the questionnaire?	Questions for identifying persons with disabilities are located in the damographics or bio-data section of the data collection tool.	Partially aligned with good practice - some adaptions needed		BEACH Guide on Use of Washington Group Questions in Multi-Sector Needs Assessments	
	Does the questionnaire use the Washington Group cots of questions on classibility at an individual and/or household level, for cample the Short Set or the Enhanced Set of questions?	The data collection tool includes the Washington Group 6 questions (Short Set), 8 questions (Expanded Set) or 12 questions (Expanded Set) or 12 questions (Expanded Set) or 12 questions focus on function and not chargnosis or impairment, for example: Do you have difficulty working or climbing stops? Would you say (provide all options) - No difficulty - Some difficulty - Cannot do at all. In data analysis, the cutoff for disability is 'a lot of difficulty' or 'cannot do at all'.	Not aligned with good practice - odoptrolicins needed		UNHCR-Specific Codes Disability	

• In case you are not sure how a recommended practice for demographic sections in questionnaires can look like **go to the next tab, tab 5 'Sample Demographic Section'.**



O It provides you with a good example of a demographic section. It demonstrates the quality use of the Washington Group Set of Questions in a demographic section. It was developed by REACH.

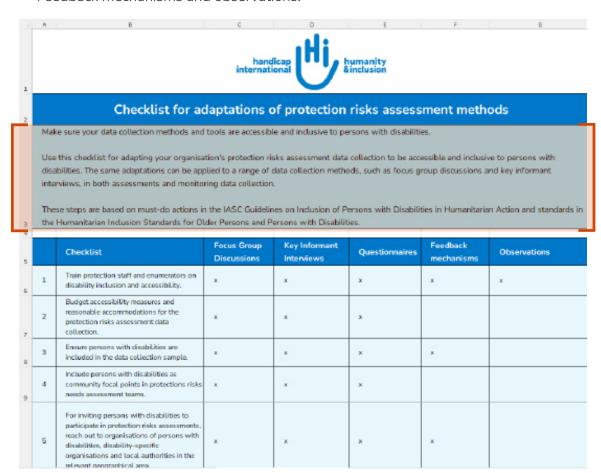




Step 2: Continue by going to Tab 6 'Checklist for assessments'.



- This checklist can be used for planning your data collection processes, and parts of it to review your questionnaires.
- The top sections provides you with background on this section of the guidance, containing a checklist to review FGDs, KIIs, Questionnaires, Feedback mechanisms and observations.



- O There are specific columns containing recommendations for each type of data collection:
 - Focus Group Discussions
 - Key Information Interviews
 - HH or Individual level questionnaires
 - Feedback Mechanisms
 - Observations
- O Checklist sections in **rows 1- 11** contain recommended actions that help you to adapt, plan and implement **accessible** quality data collection processes.
- O Checklist sections in **rows 12-13** contain information on obtaining informed consent.



- O Checklist **section 14** provides recommendation on how to undertake FGDs so that information can be disaggregated and provide you with a better understanding on disability, gender and/or age specific risks, threats and/or capacities.
- O Checklist **section 15- 18** help you to review questionnaires in order to capture disability specific protection threats and vulnerabilities.
- O **Section 19** provides a point of attention for reporting the information found.
- In particular the sections 14- 18 can guide your review of questionnaires,
 FGDs, KII and observation guides.
- Based on your interest to review FGDs, KII, questionnaires and observation tool – you could adapt this tab, i.e. remove columns on feedback mechanism sections.

Step 3: Adapt the existing FGDs and/or KIIs using the question bank for assessments when more information on disability-specific risks is needed.

Read the overview on this question bank. It provides you with overall tips
on how to integrate these questions and how and to who the enumerators
should address those questions.





Question bank for protection risks assessments

Primarity use general questions and questionnaires, when collecting data on protection risks. Disaggregate results and analysis by sex, age and disability to report protection risks and related vulnerabilities and threats faced by persons with disabilities, including women and girts with disabilities. Using the checklist provided in this tool, ensures data collection is inclusive and accessible to persons with disabilities (see the 'Checklist assessment methods' tab).

Then, when more in-depth information is needed, use the example questions below to further probe respondents with disabilities and/or households with a member with disabilities to provide information on disability-specific risks they and other people with disabilities in their community face. These questions can also be applied to focus group discussions and to key informant interviews, especially in hard-to-reach communities where focus group discussions are difficult to organise.

Ensure that enumerators ask respondents with disabilities directly when collecting data on disability-specific risks, instead of asking a family or household members or other support persons. Information on disability-specific risks gained from others, i.e. not directly from persons with disabilities, may be biased.

Below are some example of questions you can use to help you collect data on disability-specific safety protection risks and related vulnerabilities, threats and capacities. Prioritise which questions are the most useful and relevant to add to your questionnaire. If immediate and grave situations of risk are identified during the data collection process, initiate referrals to relevant services.

These questions have been adapted from a question bank used by International Rescue Committee and is based on a working document developed by Humanity & Inclusion.

The word 'disability' is not used in these questions, because in many languages and cultures it is associated with negative perceptions of disability. Instead, when asking the question, describe people with difficulties with functioning in daily activities. For example persons with a lot of difficulties moving, hearing, seeing, concentrating or remembering.

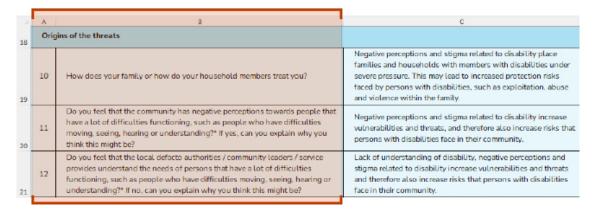


- For instance, if there are no probing questions on disability specific risks in your existing FGDs and/or KII interview questionnaires, and/or your use of the checklist responds negatively in rows 14- 18 suggested questions can be found in the 'Question bank for assessments'.
- This section provides you under the heading Disability-specific threats and their effects in the first column, with 9 questions that you can add as probing questions into your questionnaires.
 - O In the right column you will find further explanations on the **associated potential risks**.

		_	_
-	Que	estion	Potential Risk
7	Disa	ability-specific threats and their effects	
8	1	Do you face any threats to personal safety and wellbeing when going about daily activities and/or accessing services? If yes, what do you think are the biggest threats?	General protection risk assessment question, therefore disaggregate results by sex, age and disability. Persons with disabilities face increased risk of e.g. exploitation, abuse and violence within the family/household or others providing support to persons with disabilities if they are solely dependent on others for accessing protection and services.
9	2	Do you face specific physical barriers in accessing protection services? If yes, what is the service that is most difficult to access?	Persons with disabilities may face physical barriers in accessing protection and services, i.e. barriers in the physical and built environment, such as stairs and lack of ramps and railings to access facilities of service providers, lack of proper lighting etc. These barriers increase vulnerabilities and threats, and therefore also increase risks that persons with disabilities face in their community.
10	3	Do you face specific barriers in getting information about protection services or in communicating about protection services you need? If yes, what is the service that is most difficult to access?	Persons with disabilities may face information and communication barriers in accessing protection and services. Barriers could be, for example, information posted only in written format, or only in audio or visual formats, lack of Easy Read versions of information, information posted in locations that are inaccessible to person with disabilities. Communication barriers could be, for example, lack of sign language interpreters, communication only with family or caregivers and not with persons with disabilities themselves, etc. These barriers increase vulnerabilities and threats, and therefore also increase risks that persons with disabilities face in their community.
11	4	Do you face any exploitation? If yes, could you provide more detail?	Persons with disabilities face increased risk of e.g. exploitation, abuse and violence within the family/household or others providing support to persons with disabilities if they are solely dependent on others for accessing protection and services.



 You will also find seven questions that help to collect information about the origin of the threat. And in the column next to it further information on what those specific origins entail.



Lastly, on the same tab, should you require information around capacities
and existing resources to reduce risks among persons with disabilities and
their families, you will find three probing questions here.





To Find Out More

You can contact us at inclusion@hi.org

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